For Division DHS Through Periods 7/1/2016 -- 6/30/2017

# Iowa Department of Human Services Jerry R. Foxhoven

Report Series D-3 Administrative Use Only

### **Dependent Adult Abuse Program**

The numbers reported in this report represent:

- · Number of reports of suspected abuse the Department receives according to type of abuse defined in Iowa Code 235B.
- Number of reports of abuse accepted or rejected for evaluation or assessment.
- Whether the person who reported the abuse was required to report.
- Of those who were required to report the abuse, how many of each profession made reports.
- How many reports found the abuse occurred, did not occur or it occurred, but because the abuse was minor, isolated and not likely to reoccur was not placed on the central abuse registry.
- The number of reports founded, unfounded or confirmed, not registered of each type of abuse.
- How many dependent adults received which type of service after the report was completed.
- The number of persons responsible for the abuse held responsible legally or received services after the report was completed.

Prepared by: Bureau of Planning and Research

Intake						
Accepted	Rejected	In Progress	Total			
2791	4396	8	7195			

#### **Column Name Definition**

Accepted Total number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.

**Rejected** Total number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

**In Progress** Open cases where the intake has not been accepted or rejected.

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Evaluation or Assessment						
Founded	Unfounded	Confirmed, Not Registered	In Progress	Total		
403	2235	117	0	2755		

Column Name Definition

**Founded** Total number of reports evaluated or assessed that were found to have occurred.

**Unfounded** Total number of reports evaluated or assessed that were determined to have not occurred.

Confirmed, Not Registered Total number of reports evaluated or assessed that were determined to have occurred, but were not placed on the Registry.

<u>In Progress</u> Open cases where the evaluation has not been accepted.

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Summary of Intake Allegations					
Type of Abuse	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
Denial of Critical Care	2889	1194	1695	41.33 %	58.67 %
Denial of Critical Care Due to Adult's Actions	1939	790	1149	40.74 %	59.26 %
Exploitation	1223	371	852	30.34 %	69.66 %
Physical Abuse	938	424	514	45.20 %	54.80 %
Sexual Abuse	180	49	131	27.22 %	72.78 %
Total Allegations	7169	2828	4341	39.45 %	60.55 %

#### **Column Name Definition**

**Type of Abuse** Iowa Code 235B defines what abuse is. The types of abuse recognized in the Code are: Physical, sexual, exploitation, denial of critical care when there is a caretaker and denial of critical of care when the dependent adult is responsible.

**<u>Total</u>** Total number of accepted/rejected allegations made to the Department.

**Total Accepted** The number of reports of alleged abuse or neglect accepted for a social worker to evaluate or assess circumstances surrounding the allegation of abuse to determine if the dependent adult was in fact abused or neglected.

<u>Total Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

**%** Accepted The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.

<u>% Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not meeting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<sup>\*</sup> This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

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Types of Reporters						
Type of Reporter	Total	Total Accepted	Total Rejected	% Accepted	% Rejected	
AAA/ADRC	78	32	46	41.03 %	58.97 %	
Anonymous	81	22	59	27.16 %	72.84 %	
Financial Institution - Permissive	28	3	25	10.71 %	89.29 %	
Hospital Social Worker	32	10	22	31.25 %	68.75 %	
Known Permissive	2247	620	1627	27.59 %	72.41 %	
Licensed Psychologist	2	1	1	50.00 %	50.00 %	
Nurse	141	89	52	63.12 %	36.88 %	
Other Medical Personnel	1845	824	1021	44.66 %	55.34 %	
Other Mental Health Professional	225	109	116	48.44 %	51.56 %	
Other Social Service Professional	231	130	101	56.28 %	43.72 %	
Parole/Probation Officer	4	3	1	75.00 %	25.00 %	
Physician	19	10	9	52.63 %	47.37 %	
Residential Staff	24	12	12	50.00 %	50.00 %	
School Personnel	7	1	6	14.29 %	85.71 %	
Sheriff/Deputy/Police Officer	325	156	169	48.00 %	52.00 %	
Social Worker – non DHS	104	50	54	48.08 %	51.92 %	
Social Worker –DHS	1314	624	690	47.49 %	52.51 %	
Total Reporters	6707	2696	4011	40.20 %	59.80 %	

Column Name Definition

Type of Reporter

Anyone may report suspected abuse or neglect; however, IA Code 235B mandates professionals who work with dependent adults to report suspected abuse. These reporters are called mandatory reporters. All other reporters are called permissive reporters. A Mandatory Reporter Reporting Permissively is a person who is mandatory reporter due to their employment, but they are reporting suspected abuse that occurred outside of their employment.

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<u>Total</u>	Total number of accepted/rejected reports of suspected abuse made to the Department.
Total Accepted	The number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.
Total Rejected	The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.
% Accepted	The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.
% Rejected	The percentage of the total reports rejected due to there not being an allegation of abuse, the person responsible for abuse is not a caretaker, or the adult was not dependent.

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Types of Mandatory Reporters					
Туре	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	78	32	46	41.03 %	58.97 %
Hospital Social Worker	32	10	22	31.25 %	68.75 %
Licensed Psychologist	2	1	1	50.00 %	50.00 %
Nurse	141	89	52	63.12 %	36.88 %
Other Medical Personnel	1845	824	1021	44.66 %	55.34 %
Other Mental Health Professional	225	109	116	48.44 %	51.56 %
Other Social Service Professional	231	130	101	56.28 %	43.72 %
Parole/Probation Officer	4	3	1	75.00 %	25.00 %
Physician	19	10	9	52.63 %	47.37 %
Residential Staff	24	12	12	50.00 %	50.00 %
School Personnel	7	1	6	14.29 %	85.71 %
Sheriff/Deputy/Police Officer	325	156	169	48.00 %	52.00 %
Social Worker – non DHS	104	50	54	48.08 %	51.92 %
Social Worker –DHS	1314	624	690	47.49 %	52.51 %
Totals Mandatory Reporters	4351	2051	2300	47.14 %	52.86 %

#### **Column Name Definition**

**Type of Mandatory** The types of professionals who are required by Code to report suspected abuse fall into the following general categories: social work, health care, law enforcement, mental health and other.

**<u>Total</u>** Total number of accepted/rejected reports of suspected abuse received from each identified professionals.

**<u>Total Accepted</u>** Total number of reports of suspected abuse made by identified professionals that were accepted for evaluation or assessment.

<u>Total Rejected</u> Total number of reports of suspected abuse made by identified professionals that were rejected for evaluation or assessment due to not being a Code defined allegation of abuse, of the persons responsible for the abuse was not a caretaker, or the adult was not dependent.

<u>% Accepted</u> Percentage of number of reports of suspected abuse made by identified professionals accepted for evaluation or assessment.

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% Rejected Percentage of number of reports of abuse made by identified professionals rejected for evaluation or assessment.

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#### **Outcomes of Accepted Allegations for Evaluation or Assessment**

Type of Abuse	Founded		Unfou	nded	Confirmed, Not Registered	
	Total	Percentage	Total	Percentage	Total	Percentage
Physical Abuse	21	6.5%	264	81.5%	39	12.0%
Denial of Critical Care	129	11.5%	921	81.8%	76	6.7%
Sexual Abuse	15	31.3%	33	68.8%	0	0.0%
Exploitation	79	24.0%	250	76.0%	0	0.0%
Denial of Critical Care Due to Adult's Actions	118	24.7%	359	75.3%	0	0.0%
Allegation Unknown	45	7.9%	525	91.6%	3	0.5%
Total	407		2352		118	

Column	Mama	Definition

**Founded Total** The total number of reports of abuse evaluated or assessed that were found to have occurred in each category

Founded Percentage Percentage of the total number of reports evaluated or assessed for this category of abuse that were determined to have occurred.

<u>Unfounded Total</u> Total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

<u>Unfounded Percentage</u> Percentage of total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

Confirmed, Not Registered Total number of reports of abuse evaluated or assessed in this category of abuse that were determined to have occurred, but were not placed on

the Registry.

Confirmed, Not Registered Percentage Percentage of total number of reports of abuse evaluated or assessed in this category of abuse founded, but were not registered in this category.

<sup>\*</sup> This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

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### **Recommendations For Services**

Service	Total
Care/case management services (includes AAA/ADRC)	76
Caregiver support services	22
Education, employment and training services	8
Emergency assistance and material aid services	5
Financial planning services	13
In-home assistance services	94
Legal services referral	25
Medical and dental services	31
Mental health services	40
Public assistance benefits	17
Substance use services	8
Transportation	17
Victim services	0
Recommended service is unavailable in the subject's area	4
Periodic Visits	67
Other Services	452
None	1131

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## Perpetrator Legal Remedy

Remedy	Total
Recommendation of removal of guardianship rights	78
Recommendation of restraining order on perpetrator regarding the client	64
Recommendation of eviction of perpetrator	14
Recommendation of restitution by perpetrator	20
Recommendation of other legal remedy	134
No crime committed	205
None	1344

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## Interagency Coordination

Agency	Total
Law enforcement or prosecutorial offices (includes DCI, County Attorney, Local Law Enforcement)	231
Protection and Advocacy or Client Advocacy Program (CAP) such as Disability Rights Iowa, Iowa Civil Rights Commission, and the Equal Opportunity Commission, Federal Department of Labor	4
State licensing agency (Department of Inspections & Appeals, Department of Public Health, Iowa Medicaid Enterprise)	18
State Medicaid Fraud Control (MFCU)	0
Long Term Care Ombudsman Program	3
Office of the Substitute Decision Maker	19
AAA/ADRC	17
Crime Victim Assistance Program	2
Child Action Center	2
Iowa Workforce Development, Division of Labor Services	1
State Fire Marshall's Office	0
None	724
Other	3